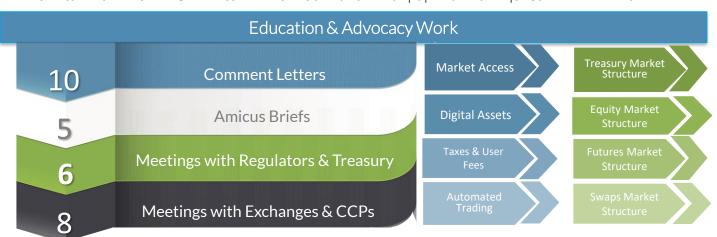


2024 Mid-Year Report

FIA PTG continued focused advocacy around the implementation phase of the Treasury clearing mandate. As several impactful rulemakings moved into the courts, FIA PTG supported the Plaintiffs by filing amicus briefs. The Market Access Committee was busy monitoring exchange data center expansions, relocations and cloud migrations. The Cyber Working Group held a half-day info sharing roundtable and our Al Committee contributed to a white paper and a request for comment.



Comment Letters

- SEC: Volume-Based Exchange Transaction Pricing Proposal.
- SEC: FINRA Proposal to Reduce the 15-Minute TRACE Reporting Timeframe.
- SEC: <u>SRO CAT Fee Filings</u>.
- SEC: <u>FICC Access Model and Margin Segregation Rule Change Proposals.</u>
- SEC: Nasdag Expansion of Cabinet Proximity Option Program and Fees Proposal.
- SEC: <u>FICC Trade Submission Requirement Proposal.</u>
- SEC: <u>24X National Exchange Application</u>.
- CFTC: Request for Comment on Al.
- CFTC: <u>CBT Extension of Block Trade Reporting Windows for Certain UST Futures</u>.
- FTC: <u>Letter Requesting Delayed Implementation of Noncompete Rule</u>.

Amicus Briefs

• In support of Plaintiffs in CAT Funding; Dealer Definition and Non-Compete Ban Litigations.

CFTC Advisory Committee Participation

Participated in six CFTC Advisory Committee Meetings .

Media Highlight

• Hosted media roundtable attended by eight publications at FIA Boca Conference.