



FIA EUROPE MIFID II/MIFIR PROJECT PLAN

Start Week																
Week	Week 1	Week 2	Week 3	Week 4	Week 5	Week 6	Week 7	Week 8	Week 9	Week 10	Week 11	Week 12	Week 13	Week 14		
Starting	Dec 1	Dec 8	Dec 15	Dec 22	Dec 29	Jan 5	Jan 12	Jan 19	Jan 26	Feb 2	Feb 9	Feb 16	Feb 23	Mar 2		
Member Engagement			MIFID II Public CP - RTS & ITS 19/11/15													
	Create list of WG for MIFID II		Final Technical Advice for Delegated Acts													
	Circulate info to members with list of selected WGs	Deadline for WG members to confirm participation	MIFID II - Post Trading: Indirect Clearing email invite to members for participation			Post Trade Issues: STP WG 9.1 - 07/01/15 Declan Ward	Post Trade Issues: STP WG 9.1 -13/01/15 Declan Ward	Post Trade Issues: STP WG 9.1 Declan Ward	Post Trade Issues: STP WG 9.1 Declan Ward	Post Trade Issues: STP WG 9.1 Declan Ward	Post Trade Issues: STP WG 9.1 Declan Ward	Post Trade Issues: STP WG 9.1 Declan Ward	Post Trade Issues: STP WG 9.1 Declan Ward	Post Trade Issues: STP WG 9.1 Declan Ward		
		Deadline for setting up MIFID II WG	MIFID II Post Trading- Indirect Clearing WG Confirmed			Indirect Clearing WG 08/01/15 Mitja Siraj	Indirect Clearing WG 15/01/15 Mitja Siraj	Indirect Clearing WG Mitja Siraj	Indirect Clearing WG Mitja Siraj	Indirect Clearing WG Mitja Siraj	Indirect Clearing WG Mitja Siraj	Indirect Clearing WG Mitja Siraj	Indirect Clearing WG Mitja Siraj	Indirect Clearing WG Mitja Siraj	Indirect Clearing WG Mitja Siraj	
		Finalise MIFID II co-ordination in Regulation meeting	MIFID II- Reporting WG Confirmed		Reporting WG Rob Barnes	Reporting WG Rob Barnes	Reporting WG Rob Barnes	Reporting WG Rob Barnes	Reporting WG Rob Barnes	Reporting WG Rob Barnes	Reporting WG Rob Barnes	Reporting WG Rob Barnes	Reporting WG Rob Barnes	Reporting WG Rob Barnes	Reporting WG Rob Barnes	
	MIFID II- Reporting WG email invite to members for participation					MIFID II - Consultation Response 07/01/15 11.00 am - 12.30 pm Rob Barnes	MIFID II - Consultation Response 14/01/15 11.00 am - 12.30 pm Rob Barnes	MIFID II - Consultation Response 21/01/15 11.00 am - 12.30 pm Rob Barnes	MIFID II - Consultation Response 28/01/15 11.00 am - 12.30 pm Rob Barnes	MIFID II - Consultation Response 04/02/15 11.00 am - 12.30 pm Rob Barnes	MIFID II - Consultation Response 11/02/15 11.00 am - 12.30 pm Rob Barnes	MIFID II - Consultation Response 18/02/15 11.00 am - 12.30 pm Rob Barnes	MIFID II - Consultation Response 25/02/15 11.00 am - 12.30 pm Rob Barnes	MIFID II - Consultation Response 04/03/15 11.00 am - 12.30 pm Rob Barnes		
				CHRISTMAS BREAK	MIFID II- Commodities Confirm WG dates with participants	Commodities WG 08/01/15 2 pm - 3 pm Christiane Leuthier/ Tessa Jones	Commodities WG 15/01/15 11.30 am - 12.30 pm Christiane Leuthier/Tessa Jones	Commodities WG 20/01/15 10.00-11.00 am Christiane Leuthier/ Tessa Jones	Commodities WG 27/01/15 10.00-11.00 am Christiane Leuthier/ Tessa Jones	Commodities WG 03/02/15 3 pm - 4 pm Christiane Leuthier/ Tessa Jones	Commodities WG 05/02/15 12.30 pm - 2.30 pm Face-to-Face Meeting in London on Ancillary Activities with ISDA, EFET & FIA Europe	Commodities WG Christiane Leuthier/ Tessa Jones	Commodities WG Christiane Leuthier/ Tessa Jones	Commodities WG Christiane Leuthier/ Tessa Jones	Commodities WG Christiane Leuthier/ Tessa Jones	
		MIFID II- Commodities WG email invite to members for participation	MIFID II- Commodities Confirm WG dates with participants				MIFID II- Algo/HFT WG Hugo Jenkins	MIFID II - Algo/HFT WG Organisation Requests for DEA Providers & GCMs 12/01/15 Hugo Jenkins	MIFID II- Algo/HFT WG Hugo Jenkins	MIFID II- Algo/HFT WG Organisation Requests for DEA Providers & GCMs 26/01/15 Hugo Jenkins	MIFID II- Algo/HFT WG Hugo Jenkins	MIFID II- Algo/HFT WG Hugo Jenkins	MIFID II- Algo/HFT WG Hugo Jenkins	MIFID II- Algo/HFT WG Hugo Jenkins	MIFID II- Algo/HFT WG Hugo Jenkins	MIFID II- Algo/HFT WG Hugo Jenkins
			MIFID II- Algo/HFT WG email invite to members for participation					MIFID II - Algo/HFT WG Organisation Requests for TVs 14/01/15 Hugo Jenkins		MIFID II - Algo/HFT WG Organisation Requests for TVs 28/01/15 Hugo Jenkins						
			MIFID II- Algo/HFT WG to be confirmed				Non-discriminatory Access WG 09/01/15 1.30 pm - 2.30 pm Simon Puleston Jones	Non-discriminatory Access WG 16/01/15 1.30 pm - 2.30 pm Simon Puleston Jones		Non-discriminatory Access WG 29/01/15 3.00 pm - 4.00 pm Simon Puleston Jones	Non-discriminatory Access WG 06/02/15 1.30 pm - 2.30 pm Simon Puleston Jones	Non-discriminatory Access WG 13/02/15 1.30 pm - 2.30 pm Simon Puleston Jones	Non-discriminatory Access WG 20/02/15 1.30 pm - 2.30 pm Simon Puleston Jones	Non-discriminatory Access WG 27/02/15 1.30 pm - 2.30 pm Simon Puleston Jones		
			Individual meetings with FIA Europe members to be arranged			Individual meetings with FIA Europe members to be arranged			Transparency WG Corinna Schempp/ Simon Borg-Barthet	Transparency WG Corinna Schempp/ Simon Borg-Barthet	Transparency WG Corinna Schempp/ Simon Borg-Barthet	Transparency WG Corinna Schempp/ Simon Borg-Barthet	Transparency WG Corinna Schempp/ Simon Borg-Barthet	Transparency WG Corinna Schempp/ Simon Borg-Barthet	Transparency WG Corinna Schempp/ Simon Borg-Barthet	SUBMIT CONSULTATION RESPONSE

Key:

Working Group	FIA Europe Staff Member
Reporting WG	Rob Barnes
Commodities WG	Christiane Leuthier/Tessa Jones
MIFID II- Post Trade WG email invite to members for participation	Declan Ward
MIFID II- Algo/HFT WG	Hugo Jenkins
Indirect Clearing	Mitja Siraj
Non-discriminatory Access WG	Simon Puleston Jones
Transparency WG	Corinna Schempp/Simon borg-Barthet



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Week	Week 6	Week 7	Week 8	Week 9	Week 10	Week 11	Week 12	Week 13	Week 14
Starting	Jan 5	Jan 12	Jan 19	Jan 26	Feb 2	Feb 9	Feb 16	Feb 23	Mar 2
Regulatory Engagement							Open Hearing in Paris on 19 February 2015		
	FCA Roundtable 06/01/15 ESMA Technical Advice Corinna Schempp	FCA Roundtable 16/01/15 ESMA CP Access/STP Simon Puleston Jones & Declan Ward	FCA Roundtable 20/01/15 ESMA Transparency Corinna Schempp	FCA Roundtable 30/01/15 Commodities Christiane Leuthier			FCA Roundtable 17/02/15 Additional: Microstructural Issues Hugo Jenkins	FCA Roundtable 24 Feb Additional: Transparency Corinna Schempp	
			MiFID II - Conference on Impact on listed and cleared Derivatives 21/01/15			Compliance & Regulation Forum 10/02/15 9.00 am - 11.00 am Transaction Reporting Speaker: Ana Fernandes (FCA)			
		FCA 12/01/15 Post-trade issues: STP Hugo Jenkins & Declan Ward	FCA Roundtable 22/01/15 ESMA CP Microstructural Issues Hugo Jenkins						
		FIA Europe Commodities team to set up meetings with BaFin and FCA (jointly) with ISDA ISDA to set up meetings with AMF and AFM	European Commission: Final Technical Advice for commodity derivatives (Joint meeting with ISDA and EFET) Christiane Leuthier	European Commission: Meeting with Lord Hill in Brussels - Simon Puleston Jones & Corinna Schempp					
			ESMA Secretariat 23/01/15 MiFID II Commodities Meeting Joint meeting with ISDA Christiane Leuthier	Post Trade Issues STP & Indirect Clearing with ESMA 27th January 2015 10.30 am - 12.30 pm Hugo Jenkins & Mitja Siraj TBC					
			AMF Joint Meeting with FIA Europe & ISDA Commodities MiFID CP, 23 Jan Discussion on MiFID II CP Christiane Leuthier						
			FCA: Indirect Clearing Mitja Siraj & Simon Puleston Jones						



FIA EUROPE MIFID II/MIFIR WORK LEADS

FIA EUROPE Project Leads	MIFID II Sections	Notes	Forum
Christiane Leuthier Tessa Jones	Commodities		Commodities WG FIA Europe/ISDA/ EFET Joint Meetings
Hugo Jenkins	Algo and HFT		Algo / HFT WGs FIA Europe/EPTA/PTG
Simon Puleston Jones	Open Access		Open Access WG
Rob Barnes	Transaction Reporting		Reporting WG
Declan Ward	Post Trading: STP		Post Trading WG
Corinna Schempp Simon Borg-Barthet	Transparency		FIA Europe to respond jointly with FIA EPTA Johannah Ladd
Mitja Siraj	Post Trading: Indirect Clearing		