

PROMINENT people

Stephen Luparello was named director of the Securities and Exchange Commission's division of trading and markets. Luparello was most recently a partner at the firm WilmerHale. Prior to that he had a 16-year career at the Financial Industry Regulatory Authority. **John Ramsay**, who had been serving as acting director of the division, announced his plans to leave the SEC.

Veteran Washington enforcement lawyer **Paul Leder** returned to the Securities and Exchange Commission as director of its office of international affairs. Leder worked at the SEC from 1987 to 1999, then joined the law firm of Richards Kibbe & Orbe as a partner. During his time at the SEC, he served as deputy director of OIA for six years and as a senior adviser to then-chairman **Arthur Levitt** on international issues. Leder takes over OIA from **Robert Fisher**, who has been serving as acting director since **Ethiopia Tafara** left in March 2013.



Declan Ward joined the Futures and Options Association in February as director, clearing policy and operations. Ward worked at Liffe for 27

years in various clearing and operations positions, most recently as executive director of Liffe Clearing.

David Meister, the former head of enforcement at the Commodity Futures Trading Commission, is rejoining the law firm of Skadden, Arps, Slate, Meagher & Flom as the head of its government enforcement and white collar crime group in New York. Meister left Skadden in 2010 to join the CFTC and led the CFTC's prosecutions against the manipulation of Libor and other benchmarks. He also led development of key Dodd-Frank enforcement rulemakings, such as the new prohi-

bition against manipulative and deceptive conduct and the whistleblower rule.

Nobel Laureate **Myron Scholes** was appointed to CME Group's competitive markets advisory council. The council was first organized by Scholes and **Leo Melamed**, CME Group's chairman emeritus in 2004. It is made up of financial experts who serve as a "think tank" for CME Group.

The London Stock Exchange hired **Hannah Gurga** as head of regulatory strategy. She reports to **Alexander Justham**, the exchange's chief executive officer. Gurga previously was head of European regulatory affairs at ICAP.

Suprio Chaudhuri joined LCH.Clearnet LLC, the U.S. arm of LCH.Clearnet Group, as chief compliance officer. He was previously head of fixed income, OTC and futures compliance at Jefferies and Co.



Antonio Reyes Miras, formerly Citi's global head of execution for listed derivatives, has moved to New York as Citi's head of futures, America. **Paul Marks**,

previously European head of electronic execution for listed derivatives, succeeded Reyes Miras as the London-based global head of electronic trading product development within Citi's futures and OTC clearing division.

Credit Suisse Securities (USA), the U.S. broker-dealer subsidiary of the Swiss bank, promoted **Maria Chiodi** to managing director. Chiodi, who has worked for the bank since 1999, is responsible for issues related to listed and over-the-counter derivatives in the firm's legal and compliance department. She also serves as co-head of the FIA Law and Compliance Division.

Ann Shuman joined the Depository Trust and Clearing Corporation as managing director and deputy general counsel, responsible for overseeing legal functions related to clearing and settlement as well as DTCC's corporate secretary function. She reports to **Larry Thompson**, DTCC's general counsel. Shuman previously worked at CME Group, where she had been serving as deputy general counsel since 2007.



The London Metal Exchange appointed **Stuart Sloan** as chief operating officer and head of strategy and **Catherine Lester** as chief financial officer. Sloan joined the LME from NYSE Euronext where he was part of the management team of the Liffe derivatives business for nearly 20 years. Lester joined from PricewaterhouseCoopers where she was a director in assurance, focusing on insurance and investment management clients.

Newedge named **Eric Shi** as head of China commodities, reporting to **Robert Vujtech**, global co-head of energy. Shi previously was global head of commodities at BOC International, a subsidiary of Bank of China, and before that worked at Newedge.

MCX Stock Exchange named **Saurabh Sarkar** as managing director and chief executive officer. He replaced **Joseph Massey**, who resigned in October amid a wave of management changes at MCX and other exchanges affiliated with the Financial Technologies group. Sarkar, a banking industry executive who has worked at Standard Chartered, Calyon and Crédit Agricole, most recently was the CEO of United Stock Exchange of India.

Meff, the Spanish derivatives exchange, appointed **Jorge Yzaguirre** as president, succeeding **Tomás Muniesa**. Yzaguirre is a director of Meff's parent company, BME, with responsibility for equity products. Meff also promoted **Clotilde Salmerón** as general manager. Salmerón joined Meff in 1994 and previously was its director of markets.

Jürg Spillmann retired from the executive boards of Eurex. Spillman has worked at Eurex since 1998 and played a key role in the migration to electronic trading. Spillmann will remain with Eurex as a member of the supervisory board of Eurex Zürich. He will also continue to serve on the supervisory boards of the European Energy Exchange and European Commodity Clearing.

Richard DuFour, executive vice president of corporate planning and development at CBOE, retired at the end of January after more than three decades at the exchange.

Paul Willis joined the Financial Conduct Authority as a commodities technical specialist in the markets division. Willis, who previously was global compliance officer for ABN Amro Global Clearing, will be responsible for driving FCA's commodity policy agenda. He replaced **Ted Morris**, who left to join the Prudential Regulation Authority at the Bank of England.



Trading Technologies appointed **Rick Lane** as chief executive officer. Lane has been serving as chief technology officer since March 2012. **Harris**

Brumfield, majority owner and CEO for the past 11 years, will remain with the company as chairman of the board.

Jan-Michiel Hessels, former chairman of NYSE Euronext's board of directors, has joined the private equity firm General Atlantic as a special advisor in Europe. He will work with GA's investment team to identify new investment opportunities and provide strategic support for the firm's portfolio companies. Hessels chaired the supervisory board of Euronext from 2000 until its merger with NYSE in 2007. Before that he was a member of the supervisory board of the Amsterdam Exchange.

Quantitative Brokers, an introducing broker specializing in algorithmic execution in fixed income futures, hired **Jonty Field** from AHL, the managed futures division of Man Group. Field, who was head of trading analytics at AHL, will be responsible for expanding QB's presence in Europe and building out its cash and swap algorithmic execution.

Tim Karpoff joined the Washington office of Jenner & Block as a member of the law firm's government controversies and public policy litigation practice. Karpoff most recently worked in the Treasury Department in its office of financial institutions policy. Before that he worked at the Commodity Futures Trading Commission as counsel to **Gary Gensler**.

Fidessa Group promoted **Emily Thomas** to global head of new business sales, based in New York. **Phill Jeffrey** was hired to fill Thomas's prior position as head of sales for Asia in the firm's Hong Kong office. Jeffrey was previously at Greenline Financial Technologies.

ICE hired **Elizabeth King** to serve as vice president, associate general counsel, NYSE. She will focus on equity market regulation at the NYSE. She has extensive experience in equity market regulatory matters, having spent 17 years at the SEC in a variety of roles. She joins NYSE from KCG, where she was head of regulatory affairs.

Richard Shilts left the Commodity Futures Trading Commission after four decades of service and joined the law firm of Patton Boggs as a senior policy advisor. Shilts held a number of senior positions at the CFTC, most recently as director of the division of market oversight, and was involved in implementing many of the derivatives reform measures of Dodd-Frank.

Michelle Neal joined Deutsche Bank as global head of listed derivatives, markets clearing, and FIC market structure, based in London. She joined the bank from Nomura, where she was global head of electronic trading for futures and prime services. She reports to **Garth Ritchie**, global head of equities, and **Zar Amrolia**, co-head of fixed income and commodities.

FIA is saddened to report that **Hideki Takahashi**, director, senior executive managing officer and chief operating officer of the Tokyo Commodity Exchange, passed away. He joined the exchange in June 2012 after leading the Japan Commodity Clearing House as chief executive officer for three years. Prior to his career in the commodity derivatives industry, he served in various positions in the Ministry of Economy, Trade and Industry from 1979 to 2009.